



Interstate Commission for Adult Offender Supervision

VIRTUAL ABM 2021

COMPLIANCE COMMITTEE REPORT

To: Commissioners of the Interstate Commission for Adult Offender Supervision

From: Allen Godfrey, Chair, Compliance Committee and Commissioner, State of Minnesota

Compliance Committee Members

Allen Godfrey (MN), Chair, Commissioner
Merideth McGrath (CO), Commissioner
Hope Cooper (KS), Commissioner
Gloriann Moroney (MA), Commissioner
Cathy Gordon (MT), Commissioner
Amy Vorachek (ND), Commissioner

Sally Reinhardt-Stewart (NE), Commissioner
Dale Crook (VT), Commissioner
Diann Skiles (WV), Commissioner
Jacey Rader (NE), Ex-officio, DCA
Suzanne Brooks (OH), Ex-officio, DCA
Tina Balandran (TX), Ex-officio, DCA

The Compliance Committee is responsible for monitoring compliance of member states with the terms of the Compact and the Commission's rules. In addition, the committee is responsible for developing appropriate enforcement procedures for the Commission's consideration.

Goals and Objectives

1. Continue to review compliance trends and make recommendations if necessary.
2. Meet and review compliance issues within 30 days of an Executive Committee referral.
3. Develop processes to enhance proactive compliance by monitoring trends and working collaboratively with other committees.

Compliance Issues and Outcomes

During the reporting year, the committee reviewed and made recommendations to the Executive Committee on the following matters:

- **December 14, 2020:** The Compliance Committee reviewed the FY 2022 audit plan for acceptance rates that included a pilot involving Minnesota, Maine, and Colorado. The committee also recommended to the Executive Committee, remittance of fines that were imposed given the state and territory completed their corrective action plans and had no subsequent violations. Lastly, the national office presented on the plan and timeline for rescinding rule 2.111.

- April 19, 2021:** The Compliance Committee reviewed the results of a pilot for the FY 2022 audit on discretionary and mandatory acceptance rates in three states, Colorado, Maine, and Minnesota. The committee found the audit information very helpful. When implemented across the Commission, the audit will assist members and the national office in improving acceptance rates, which changed little since FY 2016. Finally, the committee discussed setting benchmark acceptance rates, which were tabled until this fall for further review and discussion.

Dashboard Trends

States’ adherence to the outcomes measured across the compliance dashboard continued to trend upward in four of the six primary categories in the last five years and in all primary categories in the last three years. Between FY 2017 and FY 2021, significant compliance increases occurred in Case Closure Replies (2.6%), Transfer Request Replies (3.0%) and Violation Responses (3.9%). While Case Closure Notices and Requested Progress Reports have leveled, they remain relatively high for compliance.

Compliance Standard	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	5 Year Change	3 Year Change
Case Closure Notices	96.2%	96.2%	96.2%	96.4%	96.8%	-0.6%	0.4%
Case Closure Replies	89.8%	90.1%	91.1%	92.0%	92.4%	2.6%	1.3%
Requested Progress Reports	96.4%	88.1%	88.8%	91.1%	91.5%	-4.9%	2.7%
Violation Responses	86.0%	86.1%	88.2%	88.7%	89.9%	3.9%	1.7%
Transfer Request Replies	91.0%	92.0%	93.2%	93.2%	94.0%	3.0%	0.8%
RFRI Replies	97.0%	97.1%	97.8%	97.4%	97.9%	0.9%	0.1%

Respectfully submitted,

Allen Godfrey

Allen Godfrey
 Chair, Compliance Committee
 Commissioner, State of Minnesota